Approved for use through 07/31/2003. OMB 0651-0032

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## UTILITY **PATENT APPLICATION**

Attorney Docket No.		No.	529452001900				
First Inventor		David W. MORRIS					
Title	NOVE	L THI	ERAPEUTIC TARGETS IN CANCER				

TRANSMITTAL			NOVEL THERAPEUTIC TARGETS IN CANCER						
(Only for new nonprovisional applications under 37 CFR 1.53(b))			ss Mail Label No. EV332780093US						
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent app	-	tents.	ADDRESS TO:  MS Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450						
1. X Fee Transmittal Form (e.g., PTO/SB/17) (1 (Submit an original, and a duplicate for fee process 2. X Applicant claims small entity status. See 37 CFR 1.27.  3. X Specification [Total Page (preferred arrangement set forth below)  - Descriptive title of the invention  - Cross Reference to Related Applications  - Statement Regarding Fed sponsored R & D  - Reference to sequence listing, a table, or a computer program listing appendix  - Background of the Invention  - Brief Summary of the Invention  - Brief Description of the Drawings (if filed)  - Detailed Description  - Claim(s)  - Abstract of the Disclosure  4. X Drawing(s) (35 U.S.C. 113) [Total Sh  5. Oath or Declaration [Total Sh  5. Oath or Declaration [Total Sh  Copy from a prior application (37 CFR (for continuation/divisional with Box 18 comp	ges 197  neets 4  neets 1.63(d))		7. X CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) (Copy 1 and Copy 2)  8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  a. Computer Readable Form (CRF)  b. Specification Sequence Listing on:  i. CD-ROM or CD-R (2 copies); or ii. Paper c. Statements verifying identity of above copies  ACCOMPANYING APPLICATIONS PARTS  9. Assignment Papers (cover sheet & document(s))  10. 37 CFR 3.73(b) Statement Power of (when there is an assignee) Attorney  11. English Translation Document (if applicable)  12. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Ctations  13. Preliminary Amendment  14. X Return Receipt Postcard (MPEP 503) (Should be specifically itemized)						
i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application see 37 CFR 1.63(d)(2) and 1.33(b).  6. X Application Data Sheet. See 37 CFR 1.76 (2)			15. Certified Copy of Priority Document(s) (if foreign priority is claimed)  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.  Transmittal to Support Filing (2 pages)						
		nd supp	bly the requisite information below and in the first sentence of the specification						
following the title, or in an Application Data Sheet unit Continuation Divisional X Co	der 37 CFR ontinuation-i	CIP) of prior application No.: 10/322,696							
Prior application information: Examiner Not Yet Assigned Art Unit: 1642  For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.									
	19. CORF	RESPO	ONDENCE ADDRESS						
X Customer Number:			25226 OR Correspondence address below						
Name									
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City	State	•	Zip Code						
Country	Tele	phone	Fax						
Name (Print/Type) Shantanu Basu			Registration No. (Attorney/Agent) 43,318						
Signature Date September 23, 2003									
I hereby certify that this correspondence is bei	ina deposit	ed with	the U.S. Postal Service as Express Mail. Airbill No. EV332780093US.						

in an envelope addressed to: MS Patent Application Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: September 23, 2003

Signature:

(Tamara Alcaraz)

PTO/SB/17 (05-03)

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EEE TOANGMITTAI					C mplete if Known						
FEE TRANSMITTAL				Application Number Not Yet Assigned							
for FY 2003  Effective 01/01/2003, Patent fees are subject to annual revision.					Filing Date				Concurrently Herewith		
					First Named Inventor				David W. MORRIS		
		Examiner Name Not Yet Assigned					Assigned				
X Apr						Not Yet	Assigned				
TOTAL AM	TOTAL AMOUNT OF PAYMENT (\$) 1,923.00					Attorney Docket No. 529452001900					
MET	HOD OF PAYMENT (cl		<del>                                     </del>	FEE CALCULATION (continued)							
	<del>                                     </del>					ATION (G	onunded)				
X Depos		DDITI		FEES							
Account Number	03-1952		Fee Code	Fee (\$)	Fee Code	Fee (\$)	-	Fee Description Fee			
Deposit Account	Account   Morrison & Foerster LLP				2051	65	Surcharge – late filing fee or oath				
Name The Director	is hereby authorized to: (a	heck all that apply)	1052	50	2052	25	Surcharge – late provisional filing fee or cover sheet.				
X Charge	ree(s) indicated below	Credit any overpayments	1053	130	1053	130	Non-Englis	Non-English specification			
X Charge applicati	any additional fee(s) during the on	pendency of this	1812	2,520	1812	2,520	For filing a r	or filing a request for ex parte reexamination			
Charge	ee(s) indicated below, except	for the filing fee	1804	920*	1804	920*		uesting publication of SIR prior to			
to the above-	identified deposit account.		1805	1,840*	1805	1,840*	Requesting Examiner a	esting publication of SIR after niner action			
	FEE CALCULAT	ION	1251	110	2251	55		or reply withi			
	ILING FEE		1252	410	2252	205	Extension for	or reply withi			
Large Entity	Small Entity		1253	930	2253	465	Extension for	or reply withi	n third month		
Fee Fee Code (\$)	Fee Fee Fee Des	cription Fee Paid	1254	1,450	2254	725	Extension f	or reply withi	n fourth month		
1001 750	2001 375 Utility filing t	ee 375.00	1255	1,970	2255	985	Extension for	or reply withi	n fifth month		
1002 330	2002 165 Design filing	fee	1401	320	2401		Notice of A				
1003 520	2003 260 Plant filing f	ee	1402	320	2402			f in support o	of an appeal		
1004 750	2004 375 Reissue filir	g fee	1403	280	2403	140	Request for	oral hearing			
1005 160	2005 80 Provisional	filing fee	1451	1,510	1451	1,510	Petition to in	to institute a public use proceeding			
	SUBTOTAL (1)	(\$) 375.00	1452	110	2452	55	Petition to r	n to revive – unavoidable			
			1453	1,300	2453	650	Petition to revive - unintentional				
2. EXTRA	CLAIM FEES FOR UTI		1501	1,300	2501	650	Utility issue	tility issue fee (or reissue)			
	Extra Claims	Fee from Fee Paid	1502	470	2502	235	Design issu	ign Issue fee			
Total Claims	66 -20** = 46 x	9 = 414.00	1503	630	2503	315	Plant issue	fee			
Independent   Claims	30 -3** = 27 x	42 = 1,134.00	1460	130	1460	130	Petitions to	the Commis	sioner		
Multiple Deper	dent	140 = 0.00	1807	50	1807	50	Processing	ssing fee under 37 CFR 1.17(q)			
Large Entity Small Entity				180	1806	180	Submission	ission of Information Disclosure Strnt			
Fee Fee	Fee Fee Fe	e Description	8021	40	8021			each patent assignment per			
Code (\$) 1202 18	Code (\$) 2202 9 Claims in exce					40	property (tin	nes number (	of properties) final rejection		
1202 18			1809	750	2809		(37 CFR 1.1				
1203 280	•	,			2810	375	For each ad examined (3	Iditional inve			
1204 84		ependent claims	1801	750	2801		-	or Continued Examination (RCE)			
1205 18	over origina 2205 9 ** Reissue cla	•	1802	900	1802			expedited ex	kamination		
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent				of a design application  Other fee (specify)							
SUBTOTAL (2) (\$) 1,548.00  **or number previously paid, if greater, For Reissues, see above				*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0.00							
SUBMITTED E						Complete	if andinable '				
	Registra	ation No	142	240			(if applicable)				
<u>-</u>	ona (Fine 1995) Orlandariu Dasu			rgistration No. 43,318 Telephone (650) 813-5995					<del></del>		
Signature Shantani Basis								Date	September 23, 2	2003	

#### CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Express Mail Label No.: EV332780093US

Date of Deposit: September 23, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

amara Alcaraz

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

David W. MORRIS and Marc S. MALANDRO

Serial No.:

Not Yet Assigned

Filing Date:

Concurrently Herewith

For:

NOVEL THERAPEUTIC TARGETS IN

**CANCER** 

Examiner: Not Yet Assigned

Group Art Unit: Not Yet Assigned

# TRANSMITTAL LETTER TO SUPPORT FILING AND SUBMISSION IN ACCORDANCE 37 C.F.R. §§ 1.52 AND 1.58

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

The undersigned hereby states that the contents of the Tables 1-124 are filed herewith on the attached duplicate compact discs, (CD-R) submitted in accordance with 37 C.F.R. § 1.52 and 1.58 and that the compact discs labeled Copy 1 and Copy 2 – Tables 1-124, are identical.

Listed below are the particulars of the compact discs:

1. Machine Format: IBM-PC

2. Operating System Compatibility: PC-DOS/MS-DOS

3. List of Files: Tables 1-124

4. Size of each in bytes: 26,919,485 bytes

5. Date of creation for each: September 22, 2003

Respectfully submitted,

Dated: September 23, 2003

By:

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